



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/974,768	10/09/2001	Kenneth C. Cundy	033053-040

CONFIRMATION NO. 6895

FORMALITIES LETTER



Gerald F. Swiss, Esq.
BURNS, DOANE, SWECKER & MATHIS, L.L.P.
P.O. Box 1404
Alexandria, VA 22313-1404

Date Mailed: 11/13/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$185.
 - \$45 for 5 total claims over 20.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 620.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/25/2002 SFELEKE1 00000049 09974768

01 FC:201	370.00 DP
02 FC:203	45.00 DP
03 FC:204	140.00 DP
04 FC:205	65.00 DP

BURNS, DOANE, SWECKER &
MATHIS, L.L.P. RECEIVED

NOV 16 2001

DOCKETED

*A copy of this notice **MUST** be returned with the reply.*

Ted

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

sector #3



Patent
Attorney's Docket No. 033053-040

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
)
Kenneth C. Cundy, et al.) Group Art Unit: 1614
)
Application No.: 09/974,768 ✓) Examiner: Unassigned
)
Filed: October 9, 2001)
)
For: BILE-ACID CONJUGATES FOR)
PROVIDING SUSTAINED SYSTEMIC)
CONCENTRATIONS OF DRUGS)
)

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX: MISSING PART
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R.
§ 1.53(b) dated November 13, 2001, enclosed please find:

☒ a Combined Declaration and Power of Attorney signed by the inventor(s) and the
surcharge of ☒ \$65.00 (205) [] \$130.00 (105) as set forth in 37 C.F.R.

§ 1.16(e);

[] Note that the inventor(s) identified on the currently filed Combined
Declaration and Power of Attorney are different from those listed on the
application filing papers.

[] a Request for Refund;
☒ a Petition for Extension of Time;
[] a verified English translation of the Application, and the \$130.00 (139) fee as set
forth in 37 C.F.R. § 1.17(k);

☒ an Assignment document and a separate check for the \$40.00 (581) Assignment
recordation fee;

[] drawings for publication;

[] other _____;

☒ a check in the amount of \$ 675.00 for the fee due for missing parts; and

☐ charge \$ _____ to Deposit Account No. 02-4800 for the fee due for missing parts.

☐ Small entity status is hereby claimed.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(650) 622-2300

By: _____

Gerald F. Swiss
Registration No. 30,113

Date: January 16, 2002